



# The ANR Project

# Paral-ITP

**FA: First thoughts towards a  
Formal Parallel Kernel Model**

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# Motivation

## Why Formal Analysis ?

- ITP's like Coq and Isabelle have due to their Kernel design a high reputation in trust-worthiness; sometimes they are even used as reference for ATP's !
- **But**: Parallelism and Asynchronicity increase complexity.

# Motivation

## Why Formal Analysis ?

- ITP's like Coq and Isabelle have due to their Kernel design a high reputation in trust-worthiness; sometimes they are even used as reference for ATP's !
- But: Parallelism and Asynchronicity increase complexity.
- Principle should be maintained:  
The prover may diverge or crash,  
but thm's should be thm's !!!
- Portability issues: Core's and GUI's should run on platforms Linux, MacOS, Windows (and better!)

# Isabelle Kernel Implementation

- “LCF style” thm, protected in an ADT
- extended by thy-contexts that contain “managed” data from user-space
- extended by potential proof-objects
- extended by “promises”, i.e. “futures” of thm's whose validations are still pending and can be effectuated by concurrent, asynchronous computations.

# Parallel Nano-Kernel LCF - Architecture:

Putting the Classical Kernel actually into Plugins ... Isabelle2009 - 10 (!)

...

„ $\Theta$ “

```
thycontexts = contexts + {  
    sign : Signature,  
    thm_db : name  $\rightarrow$  thm,  
    ...}
```

„ $\Gamma \vdash_{\Theta} \varphi$ “

```
thm = {context : CertId,  
    promises: name  $\rightarrow$  thm future,  
    hyps : term,  
    prop : term}
```

```
CertificateTable : CertId  $\rightarrow$  thycontext
```

```
status :: thm  $\rightarrow$  { failed : bool, oracle: bool, unfinished: bool}
```

...

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```
thycontexts = contexts + {  
    sign : Signature,  
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```

“holes” in  
proofs to be  
filled in asyn-  
chronously  
later

„ $\Gamma \vdash_{\Theta} \varphi$ “

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thm = {context : CertId,  
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CertificateTable : CertId  $\rightarrow$  thycontext

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status :: thm  $\rightarrow$  { failed : bool, oracle: bool, unfinished: bool}
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...

# Options for FA

- modeling implementation of Kernel directly ?
- lot of stuff:
  - type classes
  - proof objects
  - internal details of futures ...

Would make formalization difficult to understand and too Isabelle-specific ...

# Reminder: Methods and Goals

- We do not attempt pervasive verification !  
(50000 lines of code . . .)
- Instead: We model key-aspects/mechanisms of the entire system architecture (we have the domain experts in the project !!!) and verify key properties.
- side-effect: we hope to learn better abstractions for interfaces ...
- where “unknown environment assumptions” have to be made, we use test-generation techniques!



# Wenzels Kernel Model [Damp 09]:

## A) The synchronous part I (“LCF Kernel”)

$$\frac{\Theta, \Pi, \Gamma \vdash q : B}{\Theta, \Pi, \Gamma - \{p : A\} \vdash (\lambda p : A. q) : (A \implies B)} \quad (\textit{imp-intro})$$

$$\frac{\Theta_1, \Pi_1, \Gamma_1 \vdash p : (A \implies B) \quad \Theta_2, \Pi_2, \Gamma_2 \vdash q : A}{\Theta_1 \cup \Theta_2, \Pi_1 \cup \Pi_2, \Gamma_1 \cup \Gamma_2 \vdash p q : B} \quad (\textit{imp-elim})$$

$$\frac{\Theta, \Pi, \Gamma \vdash p : (\bigwedge x. B[x])}{\Theta, \Pi, \Gamma \vdash p a : B[a]} \quad (\textit{all-elim})$$

$$\frac{\Theta, \Pi, \Gamma \vdash p[x] : B[x] \quad x \notin \text{FV } \Gamma}{\Theta, \Pi, \Gamma \vdash (\lambda x. p[x]) : (\bigwedge x. B[x])} \quad (\textit{all-intro})$$

# Wenzels Kernel Model:

## A) The synchronous part II (“LCF Kernel”)

$$\frac{}{\Theta, \Pi, \{p : A\} \vdash p : A} \text{ (assm)}$$

$$\frac{(c : A[?\bar{\alpha}]) \in \Theta}{\Theta, \emptyset, \emptyset \vdash c : A[\bar{\tau}]} \text{ (axiom)}$$

# Wenzels Kernel Model:

## B) The asynchronous part

$$\frac{\text{FVA} = \emptyset \quad \text{TVA} = \{?\bar{\alpha}\}}{\Theta, \{a : A\}, \emptyset \vdash a[?\bar{\alpha}] : A[?\bar{\alpha}]} \quad (\textit{promise})$$

$$\frac{\Theta_1, \Pi_1, \Gamma \vdash p : B \quad \Theta_2, \Pi_2, \emptyset \vdash q : A \quad \Theta_2 \subseteq \Theta_1 \quad \Pi_2 \ll a}{\Theta_1, (\Pi_1 - \{a : A\}) \cup \Pi_2, \Gamma \vdash p[a := q] : B} \quad (\textit{fulfill})$$

# Approaches to formalize Wenzels Kernel Model:

- **Untyped Syntactic Model of Lambda Calculus**
  - **Basis:** datatype lam = \* |  $\boxplus$  | lam -> lam  
| Var nat  
| Lam lam lam  
| App lam lam
- **Untyped Semantic Model**  
Semantic domain D in HOLCF.
- **Typed Syntactic Model**
  - **Basis (?):** Eg. Nipkow/Naraschewski 96 (AFP)

# The Syntactic Model

**(Based on Nipkow Naraschewski  
AFP MiniML 96) ?**

```
header "MiniML-types and type substitutions"
```

```
theory Type  
imports Maybe  
begin
```

```
-- "type expressions"  
datatype "typ" = TVar nat | TCons nat "typ list"
```

```
fun free_tv :: "typ  $\Rightarrow$  nat set"  
where "free_tv (TVar x) = {x}"  
      | "free_tv (TCons c C) = Union(set(map free_tv C))"
```

## Problems of the Syntactic Model

- + Idea: The key is a let-elimination theorem à la Gentzen Hauptsatz.
- Extension to “parametric polymorphism” necessary ? Or just desirable ?
- Wenzel's Model assumes  $\alpha/\beta$  congruence ?
- Curry Style vs. Church Style ?

# Problems of the Syntactic Model

- Open problems:  $\ll$  ? id - ordering ?  
context subsumption tests ?
- Open problems:
  - no context formation ...
  - should we prove async provability in  
a world with VARIOUS global contexts?