

*L3 Mention Informatique
Parcours Informatique et MIAGE*

Génie Logiciel Avancé - Advanced Software Engineering **Black-Box Tests**

Burkhart Wolff
wolff@iri.fr

Towards **Static** Specification-based Unit Test

- ❑ How can we test during development
(at coding time, even at design-time ?)
- ❑ How can we test "systematically"?
 - ❑ What could be a test-generation method?
 - ❑ What could be an algorithm to generate tests?
 - ❑ What could be a coverage criterion ?
(or: adequacy criterion,
telling that we "tested enough")

Difficulties with Static Unit Tests so far

- ❑ Some empirical observations:
 - No relation between detection order and detection difficulty
 - No relation between detection difficulty and correction
 - The more errors you found, the more you find more...
 - The quality of a test set is independent of its size.

Functional Unit Test : An Example

The specification in UML/MOAL:

Triangles

a, b, c: Integer

- mk(Integer, Integer, Integer): Triangle
- is_Triangle(): {equ (*equilateral*),
iso (*isosceles*),
arb (*arbitrary*) }

Functional Unit Test : An Example

Recall:

Triangles

a, b, c: Integer

- mk(Integer, Integer, Integer): Triangle
- is_Triangle(): {equ (*equilateral*),
 iso (*isosceles*),
 arb (*arbitrary*)}

inv $0 < a \wedge 0 < b \wedge 0 < c$
inv $c \leq a+b \wedge a \leq b+c \wedge b \leq c+a$

operation t.is_Triangle():
 pre t ≠ null
 post t.a=t.b \wedge t.b=t.c \rightarrow result=equ
 post (t.a≠t.b \vee t.b≠t.c \vee t.a≠t.c) \wedge
 (t.a=t.b \vee t.b=t.c \vee t.a=t.c) \rightarrow result=iso
 post (t.a≠t.b \wedge t.b≠t.c \wedge t.a≠t.c) \rightarrow result=arb
 post modifiesOnly({ })

Generating Test-Data by Example

- Consider the test specification (the "Test Goal"):

$\text{mk}(x,y,z).\text{isTriangle}() \equiv X$

i.e. for which input (x,y,z) should an implementation of our contract yield which X ?

Note that we define $\text{mk}(0,0,0)$ to invalid,
as well as all other invalid triangles ...

Recall : Intuitive Test-Data Generation

- ❑ an arbitrary valid triangle: (3, 4, 5)
- ❑ an equilateral triangle: (5, 5, 5)
- ❑ an isoscele triangle and its permutations :
(6, 6, 7), (7, 6, 6), (6, 7, 6)
- ❑ impossible triangles and their permutations :
(1, 2, 4), (4, 1, 2), (2, 4, 1) -- $x + y > z$
(1, 2, 3), (2, 4, 2), (5, 3, 2) -- $x + y = z$ (necessary?)
- ❑ a zero length : (0, 5, 4), (4, 0, 5),
- ❑ ...
- ❑ Would we have to consider negative values?

Test-Data Generation

- ❑ Ouf, is there a systematic and automatic way to compute all these cases ?

Well, lets see and calculate ...

Test-Data Generation

- ❑ Recall the test specification:

$\text{mk}(x,y,z).\text{isTriangle}() = r$

Test-Data Generation

- Recall the test specification:

$$\text{mk}(x,y,z).\text{isTriangle}() = r$$

$$\equiv \text{inv}_{\text{Triangle}}(\sigma) \wedge \text{pre}_{\text{isTriangle}}(\text{mk}(x,y,z))(\sigma) \wedge \\ \text{inv}_{\text{Triangle}}(\sigma') \wedge \text{post}_{\text{isTriangle}}(\text{mk}(x,y,z), r)(\sigma, \sigma')$$

(* see semantics in MOAL II, page 22. *)

Some Facts:

- From $\text{modifiesOnly}(\{\})$ follows $\sigma = \sigma'$ hence

$$\text{inv}_{\text{Triangle}}(\sigma) = \text{inv}_{\text{Triangle}}(\sigma')$$

- From $\text{mk}(x,y,z) \neq \text{null}$ (see $\text{pre}_{\text{isTriangle}}$) and from $\text{inv}_{\text{Triangle}}(\sigma)$ and $\text{mk}(x,y,z) \in \text{Triangle}(\sigma)$ follows that:

$$0 < x \wedge 0 < y \wedge 0 < z \wedge x \leq y + z \wedge y \leq x + z \wedge z \leq x + y \quad (= \text{inv})$$

Revision: Boolean Logic + Some Basic Rules

- $\neg(a \wedge b) = \neg a \vee \neg b$ (* deMorgan1 *)
- $\neg(a \vee b) = \neg a \wedge \neg b$ (* deMorgan2 *)
- $a \wedge (b \vee c) = (a \wedge b) \vee (a \wedge c)$
- $\neg(\neg a) = a$, $a \vee \neg a = T$, $a \wedge \neg a = F$,
- $a \wedge b = b \wedge a$; $a \vee b = b \vee a$
- $a \wedge (b \wedge c) = (a \wedge b) \wedge c$
- $a \vee (b \vee c) = (a \vee b) \vee c$
- $a \rightarrow b = (\neg a) \vee b$
- $(a=b \wedge P(a)) = P(b)$ (* one point rule *)

- $\text{let } x = E \text{ in } C(x) = C(E)$ (* let elimination *)
- $\text{if } c \text{ then } C \text{ else } D = (c \wedge C) \vee (\neg c \wedge D) = (c \rightarrow C) \wedge (\neg c \rightarrow D)$

Test-Data Generation

- Recall the test specification:

$$\text{mk}(x,y,z).\text{isTriangle}() = r$$

- ≡ $\text{inv}_{\text{Triangle}}(\sigma) \wedge \text{pre}_{\text{isTriangle}}(\text{mk}(x,y,z))(\sigma) \wedge \text{inv}_{\text{Triangle}}(\sigma') \wedge \text{post}_{\text{isTriangle}}(\text{mk}(x,y,z), r)(\sigma, \sigma')$

(* see semantics d'un appel de méthode, in MOAL II, page 22. *)

Some Facts:

- $\text{arb} \neq \text{equ} \neq \text{iso}$
- $\text{post}_{\text{isTriangle}}(\text{mk}(x,y,z), r)(\sigma, \sigma)$ can be simplified to:

$$(x=y \wedge y=z \longrightarrow r=\text{equ}) \wedge$$

$$((x \neq y \vee y \neq z) \wedge (x=y \vee y=z \vee x=z) \longrightarrow r=\text{iso}) \wedge$$

$$((x \neq y \wedge y \neq z \wedge x \neq z) \longrightarrow r=\text{arb})$$

Test-Data Generation

- Summing up:

$$\text{mk}(x,y,z).\text{isTriangle}() = r$$

$$\equiv \text{inv}_{\text{Triangle}}(\sigma) \wedge \text{pre}_{\text{isTriangle}}(\text{mk}(x,y,z))(\sigma) \wedge \\ \text{inv}_{\text{Triangle}}(\sigma') \wedge \text{post}_{\text{isTriangle}}(\text{mk}(x,y,z), r)(\sigma, \sigma')$$

⇒ (* the discussed facts *)

$$\begin{aligned} & \text{inv} \wedge \\ & (x=y \wedge y=z \longrightarrow r=\text{equ}) \wedge \\ & ((x \neq y \vee y \neq z) \wedge (x=y \vee y=z \vee x=z) \longrightarrow r=\text{iso}) \wedge \\ & (x \neq y \wedge y \neq z \wedge x \neq z \longrightarrow r=\text{arb}) \end{aligned}$$

Test-Data Generation

- Recall the test specification:

$$\begin{aligned} \text{inv} \wedge (x=y \wedge y=z \longrightarrow r=\text{equ}) \wedge \\ ((x \neq y \vee y \neq z) \wedge (x=y \vee y=z \vee x=z) \longrightarrow r=\text{iso}) \wedge \\ (x \neq y \wedge y \neq z \wedge x \neq z \longrightarrow r=\text{arb}) \end{aligned}$$

\equiv (* elimination \longrightarrow , deMorgan*)

$$\begin{aligned} \text{inv} \wedge \\ (x \neq y \vee y \neq z \vee r=\text{equ}) \wedge \\ ((x=y \wedge y=z) \vee (x \neq y \wedge y \neq z \wedge x \neq z) \vee r=\text{iso}) \wedge \\ (x=y \vee y=z \vee x=z \vee r=\text{arb}) \end{aligned}$$

Test-Data Generation

- This first part of the calculation could be called

PURIFICATION

We eliminate UML, object-orientation, MOAL etcpp
and reduce it to the pure logical core ...

Now, under which precise conditions do we have

- > $r = \text{iso}$
- > $r = \text{arb}$
- > $r = \text{equ} ???$

Test-Data Generation

- This first part of the calculation could be called

PURIFICATION

We eliminate UML, object-orientation, MOAL etcpp
and reduce it to the pure logical core ...

Can we transform the spec into the form

- $A_1 \wedge \dots \wedge A_i \wedge r = \text{iso}$
- $B_1 \wedge \dots \wedge B_k \wedge r = \text{arb}$
- $C_1 \wedge \dots \wedge C_l \wedge r = \text{equ}$???

Test-Data Generation

- This first part of the calculation could be called

PURIFICATION

We eliminate UML, object-orientation, MOAL etcpp
and reduce it to the pure logical core ...

Can we transform the spec into a

Disjunctive Normal Form (DNF) ?

Excursion

□ Generalized Distribution Laws:

$$\begin{aligned}(A_1 \vee A_2) \wedge (B_1 \vee B_2) &= (A_1 \wedge (B_1 \vee B_2)) \vee (A_2 \wedge (B_1 \vee B_2)) \\&= (A_1 \wedge B_1) \vee (A_2 \wedge B_1) \vee (A_1 \wedge B_2) \vee (A_2 \wedge B_2)\end{aligned}$$

$$\begin{aligned}(A_1 \vee A_2 \vee A_3) \wedge (B_1 \vee B_2 \vee B_3) \wedge (C_1 \vee C_2 \vee C_3) \\&= \dots \\&= (A_1 \wedge B_1 \wedge C_1) \vee (A_1 \wedge B_1 \wedge C_2) \vee (A_1 \wedge B_1 \wedge C_3) \vee \\&\quad (A_2 \wedge B_1 \wedge C_1) \vee (A_2 \wedge B_1 \wedge C_2) \vee (A_2 \wedge B_1 \wedge C_3) \vee \\&\quad \dots \\&\quad (A_1 \wedge B_3 \wedge C_3) \vee (A_2 \wedge B_3 \wedge C_3) \vee (A_3 \wedge B_3 \wedge C_3)\end{aligned}$$

Test-Data Generation

- Recall the test specification:

...

$\equiv \text{inv} \wedge$

$(x \neq y \vee y \neq z \vee r = \text{equ}) \wedge$

$(x = y \vee y = z \vee x = z \vee r = \text{arb}) \wedge$

$((x = y \wedge y = z) \vee (x \neq y \wedge y \neq z \wedge x \neq z) \vee r = \text{iso})$

$\equiv (* \text{ generalized distribution 2nd/3rd line } *)$

$\text{inv} \wedge$

$((x \neq y \wedge x = y) \vee (x \neq y \wedge y = z) \vee (x \neq y \wedge x = z) \vee (x \neq y \wedge r = \text{arb})) \vee$

$((y \neq z \wedge x = y) \vee (y \neq z \wedge y = z) \vee (y \neq z \wedge x = z) \vee (y \neq z \wedge r = \text{arb})) \vee$

$((r = \text{equ} \wedge x = y) \vee (r = \text{equ} \wedge y = z) \vee (r = \text{equ} \wedge x = z) \vee (r = \text{equ} \wedge r = \text{arb})) \vee$

$((x = y \wedge y = z) \vee (x \neq y \wedge y \neq z \wedge x \neq z) \vee r = \text{iso})$

Test-Data Generation

- Recall the test specification:

...

$\equiv \text{inv} \wedge$

$(x \neq y \vee y \neq z \vee r = \text{equ}) \wedge$

$(x = y \vee y = z \vee x = z \vee r = \text{arb}) \wedge$

$((x = y \wedge y = z) \vee (x \neq y \wedge y \neq z \wedge x \neq z) \vee r = \text{iso})$

$\equiv (* \text{ elimination contradictions } *)$

$\text{inv} \wedge$

$((x \neq y \wedge x = y) \vee (x \neq y \wedge y = z) \vee (x \neq y \wedge x = z) \vee (x \neq y \wedge r = \text{arb}) \vee$

$(y \neq z \wedge x = y) \vee (y \neq z \wedge y = z) \vee (y \neq z \wedge x = z) \vee (y \neq z \wedge r = \text{arb}) \vee$

$(r = \text{equ} \wedge x = y) \vee (r = \text{equ} \wedge y = z) \vee (r = \text{equ} \wedge x = z) \vee (r = \text{equ} \wedge r = \text{arb})) \vee$

$((x = y \wedge y = z) \vee (x \neq y \wedge y \neq z \wedge x \neq z) \vee r = \text{iso})$

Test-Data Generation

- Recall the test specification:

...

= (* elimination contradictions *)

inv \wedge

$$\begin{aligned} & \left((x \neq y \wedge y = z) \vee (x \neq y \wedge x = z) \vee (x \neq y \wedge r = \text{arb}) \vee \right. \\ & \quad (y \neq z \wedge x = y) \vee (y \neq z \wedge x = z) \vee (y \neq z \wedge r = \text{arb}) \vee \\ & \quad \left. (r = \text{equ} \wedge x = y) \vee (r = \text{equ} \wedge y = z) \vee (r = \text{equ} \wedge x = z) \right) \wedge \\ & \quad \left((x = y \wedge y = z) \vee (x \neq y \wedge y \neq z \wedge x \neq z) \vee r = \text{iso} \right) \end{aligned}$$

Test-Data Generation

□ \equiv (* generalized distribution 2nd/3rd ((9 * 3 = 27 cases !)*)

inv \wedge

$$\begin{aligned} & \left((x \neq y \wedge y = z \wedge x = y \wedge y = z) \vee (x \neq y \wedge x = z \wedge \right. \\ & \quad \left. x = y \wedge y = z) \vee (x \neq y \wedge r = \text{arb} \wedge x = y \wedge y = z) \vee \right. \\ & \quad \left. (y \neq z \wedge x = y \wedge x = y \wedge y = z) \vee (y \neq z \wedge x = z \wedge \right. \\ & \quad \left. x = y \wedge y = z) \vee (y \neq z \wedge r = \text{arb} \wedge x = y \wedge y = z) \vee \right. \\ & \quad \left. (r = \text{equ} \wedge x = y \wedge x = y \wedge y = z) \vee (r = \text{equ} \wedge \right. \\ & \quad \left. y = z \wedge x = y \wedge y = z) \vee (r = \text{equ} \wedge x = z \wedge x = y \wedge y = z) \right) \vee \\ & \left((x \neq y \wedge y = z \wedge x \neq y \wedge y \neq z \wedge x \neq z) \vee (x \neq y \wedge x = z \wedge x \neq y \wedge y \neq z \wedge x \neq z) \vee (x \neq y \wedge r = \text{arb} \right. \\ & \quad \left. \wedge x \neq y \wedge y \neq z \wedge x \neq z) \vee (y \neq z \wedge x = y \wedge x \neq y \wedge y \neq z \wedge x \neq z) \vee (y \neq z \wedge x = z \wedge x \neq y \wedge y \neq z \wedge \right. \\ & \quad \left. x \neq z) \vee (y \neq z \wedge r = \text{arb} \wedge x \neq y \wedge y \neq z \wedge x \neq z) \vee (r = \text{equ} \wedge x = y \wedge x \neq y \wedge y \neq z \wedge x \neq z) \vee \right. \\ & \quad \left. (r = \text{equ} \wedge y = z \wedge x \neq y \wedge y \neq z \wedge x \neq z) \vee (r = \text{equ} \wedge x = z \wedge x \neq y \wedge y \neq z \wedge x \neq z) \right) \vee \\ & \left((x \neq y \wedge y = z \wedge r = \text{iso}) \vee (x \neq y \wedge x = z \wedge r = \text{iso}) \vee (x \neq y \wedge r = \text{arb} \wedge r = \text{iso}) \right. \\ & \quad \left. \vee (y \neq z \wedge x = y \wedge r = \text{iso}) \vee (y \neq z \wedge x = z \wedge r = \text{iso}) \vee (y \neq z \wedge r = \text{arb} \wedge r = \text{iso}) \vee \right. \\ & \quad \left. (r = \text{equ} \wedge x = y \wedge r = \text{iso}) \vee (r = \text{equ} \wedge y = z \wedge r = \text{iso}) \vee (r = \text{equ} \wedge x = z \wedge r = \text{iso}) \right) \end{aligned}$$

Test-Data Generation

- \equiv (* elimination of the contradictions and redundancies *)

inv \wedge

$$\begin{aligned} & ((x \neq y \wedge y = z \wedge x = y \wedge y = z) \vee (x \neq y \wedge x = z \wedge \\ & \quad x = y \wedge y = z) \vee (x \neq y \wedge r = \text{arb} \wedge x = y \wedge y = z) \quad \vee \\ & (y \neq z \wedge x = y \wedge x = y \wedge y = z) \vee (y \neq z \wedge x = z \wedge \\ & \quad x = y \wedge y = z) \vee (y \neq z \wedge r = \text{arb} \wedge x = y \wedge y = z) \quad \vee \\ & (r = \text{equ} \wedge x = y \wedge x = y \wedge y = z) \vee (\underline{r = \text{equ} \wedge} \\ & \quad \underline{y = z \wedge x = y \wedge y = z}) \vee (\underline{r = \text{equ} \wedge x = z \wedge x = y \wedge y = z}) \quad \vee \\ & ((x \neq y \wedge y = z \wedge x \neq y \wedge y \neq z \wedge x \neq z) \vee (x \neq y \wedge x = z \wedge x \neq y \wedge y \neq z \wedge x \neq z) \vee (x \neq y \wedge r = \text{arb} \\ & \wedge \underline{x \neq y \wedge y \neq z \wedge x \neq z}) \vee (y \neq z \wedge x = y \wedge x \neq y \wedge y \neq z \wedge x \neq z) \vee (y \neq z \wedge x = z \wedge x \neq y \wedge y \neq z \wedge \\ & x \neq z) \vee (\underline{y \neq z \wedge r = \text{arb} \wedge x \neq y \wedge y \neq z \wedge x \neq z}) \vee (\underline{r = \text{equ} \wedge x = y \wedge x \neq y \wedge y \neq z \wedge x \neq z}) \vee (\underline{r = \text{equ} \wedge y = z \wedge x \neq y \wedge y \neq z \wedge x \neq z}) \quad \vee \\ & ((x \neq y \wedge y = z \wedge r = \text{iso}) \vee (x \neq y \wedge x = z \wedge r = \text{iso}) \vee (x \neq y \wedge r = \text{arb} \wedge r = \text{iso}) \\ & \quad \vee (y \neq z \wedge x = y \wedge r = \text{iso}) \vee (y \neq z \wedge x = z \wedge r = \text{iso}) \vee (y \neq z \wedge r = \text{arb} \wedge r = \text{iso}) \quad \vee \\ & (\underline{r = \text{equ} \wedge x = y \wedge r = \text{iso}}) \vee (\underline{r = \text{equ} \wedge y = z \wedge r = \text{iso}}) \vee (\underline{r = \text{equ} \wedge x = z \wedge r = \text{iso}})) \end{aligned}$$

Test-Data Generation

- $\equiv (* \text{ cleanup, distribution } *)$

$$(\text{inv} \wedge x=y \wedge x=y \wedge y=z \wedge r=\text{equ}) \vee \quad (1)$$

$$(\text{inv} \wedge x \neq y \wedge y \neq z \wedge x \neq z \wedge r=\text{arb}) \vee \quad (2)$$

$$(\text{inv} \wedge x \neq y \wedge y=z \wedge r=\text{iso}) \vee \quad (3)$$

$$(\text{inv} \wedge x \neq y \wedge x=z \wedge r=\text{iso}) \vee \quad (4)$$

$$(\text{inv} \wedge y \neq z \wedge x=y \wedge r=\text{iso}) \vee \quad (5)$$

$$(\text{inv} \wedge y \neq z \wedge x=z \wedge r=\text{iso}) \quad (6)$$

- **Test-Case-Construction by DNF Method**

yields six abstract test cases

relating input $x \ y \ z$ to output r

- Note: In general, output r is not necessarily
uniquely defined as in our example ...

The spec can be non-deterministic admitting several results.

Test-Data Generation

- Test-Data-Selection:

For each abstract test-case, we construct one concrete test, by choosing values that make the abstract test case true (« that satisfies the abstract test case »)

case	x	y	z	result
(1)	3	3	3	equ
(2)	3	4	6	arb
(3)	4	5	5	iso
(4)	5	4	5	iso
(5)	5	5	4	iso
(6)	4	3	4	iso

Test-Data Generation

- ❑ Intuitively, what does it mean that we “covered” the DNF by tests
 - ❑ Any basic predicate (“literal”) has been used at least one time
 - ❑ ... provided it is not contradictory (“ $A=False$ ”)
 - ❑ ... provided that it is not redundant (“ $A=True$ ”)
 - ❑ ... provided it is not implied by another literal, i.e. it is subsumed (“ $B \rightarrow A$ ”)

Test-Data Generation

- A First Summary on the Test-Generation Method:
 - PHASE I: Stripping the Domain-Language (UML-MOAL) away, "purification"
 - PHASE II: Abstract Test Case Construction by "DNF computation"
 - PHASE III: Constraint Resolution (by solvers like CVC4 or Z3) "Test Data Selection"
 - COVERAGE CRITERION:
DNF - coverage of the Spec; for each abstract test-case one concrete test-input is constructed.
(ISO/IEC/IEEE 29119 calls this: Equivalence class testing)
- **Remark:** During Coding phase, when the Spec does not change, the test-data-selection can be repeated easily creating always different test sets ...

Test-Data Generation

❑ Variants:

- Alternative to PHASE II (DNF construction):
Predicate Abstraction and Tableaux-Exploration.

Reconsider the (purified) specification:

$$\begin{aligned} \text{inv } & \Lambda \\ (x=y \wedge y=z \longrightarrow r=\text{equ}) & \wedge \\ ((x \neq y \vee y \neq z) \wedge (x=y \vee y=z \vee x=z) \longrightarrow r=\text{iso}) & \wedge \\ (x \neq y \wedge y \neq z \wedge x \neq z \longrightarrow r=\text{arb}) \end{aligned}$$

It is possible to abstract this spec to a fairly small number of „base predicates“ ... They should be logically independent and not contain the output variable...

Test-Data Generation

❑ Variants:

- Alternative to PHASE II (DNF construction):
Predicate Abstraction and Tableaux-Exploration.

Reconsider the (purified) specification:

$$\begin{aligned} & \text{inv } \Lambda \\ & (A \wedge B \longrightarrow r=\text{equ}) \wedge \\ & ((\neg A \vee \neg B) \wedge (A \vee B \vee C) \longrightarrow r=\text{iso}) \wedge \\ & (\neg A \wedge \neg B \wedge \neg C \longrightarrow r=\text{arb}) \end{aligned}$$

where $A \mapsto x=y$, $B \mapsto y=z$, $C \mapsto x=z$

(actually: A and B imply C)

Test-Data Generation

❑ Variants:

- ... Now we can construct a tableau and get by simplification:

case	A	B	C	spec reduces to
(1)	T	T	T	• r=equ
(2)	T	T	F	• r=equ (!!!)
(3)	T	F	T	• r=iso
(4)	T	F	F	• r=iso
(5)	F	T	T	• r=iso
(6)	F	T	F	• r=iso
(7)	F	F	T	• r=iso
(8)	F	F	F	• r=arb

Test-Data Generation

❑ Variants:

- PHASE III: Borderline analysis.

Principle: we replace in our DNF inequalities by
„the closest values that make the spec true“

$$x \neq y \quad \rightarrow \quad x = y + 1 \vee x = y - 1$$

$$x \leq y \quad \rightarrow \quad x = y \vee x < y$$

$$x < y \quad \rightarrow \quad x = y - 1 \quad \text{etc.}$$

- ... and recompute the DNF. In general, this gives a much finer mesh ...

Test-Data Generation

❑ Variants:

- PHASE I: Test for exceptional behaviour.

We negate the precondition and to DNF generation on the precondition only.

Test objectives could be:

- should raise an exception if public
- should not diverge

Test-Data Generation

- ❑ How to handle Recursion ?

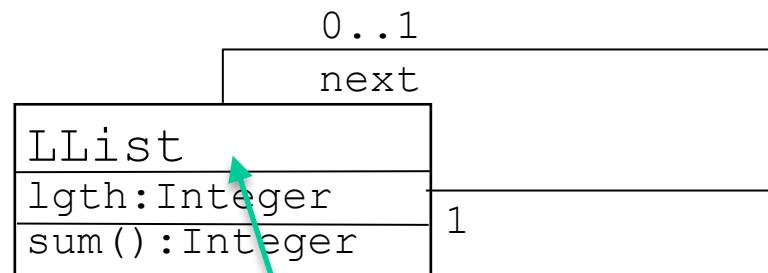
Test-Data Generation

❑ How to handle Recursion ?

In UML/MOAL, recursion occurs (at least)
at two points:

- at the level
of data

Note that this excludes
cyclic lists !!!



invariant:

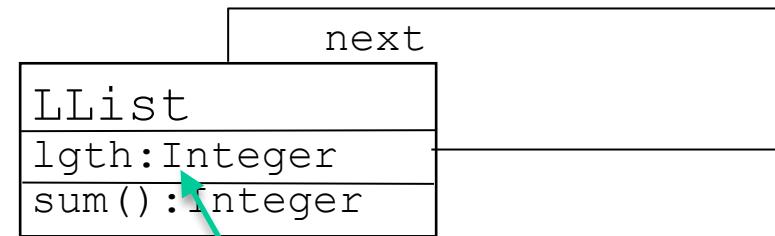
$\text{inv}_{\text{LList}} \equiv \forall \text{node} \in \text{LList}. \text{node.lgth} = \begin{cases} 1 & \text{if node.next} = \text{null} \\ \text{next.lgth} + 1 & \text{else} \end{cases}$

Test-Data Generation

❑ How to handle Recursion ?

In UML/MOAL, recursion occurs (at least)
at two points:

- at the level of operations (post-conds
may contain calls ...)



```
query contract (modifiesOnly({})):
definition presum(l) ≡ True
definition postsum(l, res) ≡ res = if l.next=null then l.lgth
                                         else l.lgth + l.next.sum()
definition sum(l) ≡ arb{r|presum(l) ∧ postsum(l, r)}
```

Note that $\text{arb}(S)$ gives an arbitrary member of $S: \text{arb}(S) \in S$. Since from $x=\text{arb}(\{y\})$ follows $x=y$; thus $\text{sum}(l)$ is (uniquely) defined.

Test-Data Generation

- Prerequisite: We present the invariant as recursive predicate.

definition $\text{inv}_{\text{LList-Core}} n \ \sigma \equiv (n.\text{lgth}(\sigma) = \text{if } n.\text{next}(\sigma) = \text{null} \text{ then } 1 \\ \text{else } n.\text{next}.\text{lgth}(\sigma) + 1)$

we have:

$$\text{inv}_{\text{LList}} (\sigma) = \forall n \in \text{LList}(\sigma). \text{inv}_{\text{LList-Core}} n \ \sigma$$

and

$$\text{inv}_{\text{LList-Core}}(n)(\sigma) = (\text{if } n.\text{next}(\sigma) = \text{null} \text{ then } n.\text{lgth}(\sigma) = 1 \\ \text{else } n.\text{lgth}(\sigma) = n.\text{next}.\text{lgth}(\sigma) + 1 \\ \wedge n.\text{next}(\sigma) \in \text{LList}(\sigma) \\ \wedge \text{inv}_{\text{LList-Core}}(n.\text{next})(\sigma))$$

Furthermore we have:

$$\text{sum}(l)(\sigma', \sigma) = \text{if } l.\text{next}(\sigma) = \text{null} \text{ then } l.\text{lgth}(\sigma) \\ \text{else } l.\text{lgth}(\sigma) + \text{sum}(l.\text{next})(\sigma', \sigma)$$

We have $\sigma' = \sigma$ (why?). We will again apply (σ', σ) - convention.

Test-Data Generation

- Consider the test specification:

$X.sum() \equiv Y$ (for some $X \in \text{LList}$, i.e. $X \neq \text{null}$)

$$\equiv \text{inv}_{\text{LList}}(X) \wedge \text{pre}_{\text{sum}}(X) \wedge \text{post}_{\text{sum}}(X, Y)$$

where:

$$\text{pre}_{\text{sum}}(X) \equiv \text{true}$$

$$\begin{aligned} \text{post}_{\text{sum}}(X, Y) &\equiv (\text{if } X.\text{next} = \text{null} \text{ then } Y = X.\text{lgth} \\ &\quad \text{else } Y = X.\text{lgth} + \text{sum}(X.\text{next})) \\ &\equiv (X.\text{next} = \text{null} \wedge Y = X.\text{lgth}) \\ &\vee (X.\text{next} \neq \text{null} \wedge Y = X.\text{lgth} + \text{sum}(X.\text{next})) \end{aligned}$$

Test-Data Generation

- DNF computation yields already the test cases:

$X.sum() \equiv Y$

(for some $X \in \text{LList}$, i.e. $X \neq \text{null}$)

$\implies \text{inv}_{\text{LList_Core}}(X) \wedge \text{post}_{\text{sum}}(X, Y)$

$\equiv (\text{if } X.\text{next} = \text{null} \text{ then } X.\text{lgth} = 1$
 $\quad \text{else } X.\text{lgth} = X.\text{next}.\text{lgth} + 1 \wedge X.\text{next} \in \text{LList} \wedge \text{inv}_{\text{LList_Core}}(X.\text{next}))$
 $\quad (\text{if } X.\text{next} = \text{null} \text{ then } Y = X.\text{lgth}$
 $\quad \quad \text{else } Y = X.\text{lgth} + \text{sum}(X.\text{next}))$

$\equiv (\text{if } c \text{ then } C \text{ else } D \text{ elim, DNF})$

$(X.\text{next} = \text{null} \wedge X.\text{lgth} = 1 \wedge Y = X.\text{lgth})$

$\vee (X.\text{next} \neq \text{null} \wedge X.\text{lgth} = X.\text{next}.\text{lgth} + 1$
 $\wedge X.\text{next} \in \text{LList} \wedge \text{inv}_{\text{LList_Core}}(X.\text{next})$
 $\wedge Y = X.\text{lgth} + \text{sum}(X.\text{next}))$

New
Test-
Case!!

Test-Data Generation

- ❑ Intermediate Summary: test-cases known so far ?

X	Y
 i:LList lgth=1	1
...	...
...	...

Test-Data Generation

- Prerequisite: We present the invariant as recursive predicate.

```
invLLList_Core(n) = (if n.next=null then n.lgth = 1  
else n.lgth = n.next.lgth + 1  
  Λ n.next ∈ LLList ∧ invLLList_Core(n.next))
```

- sum(l) = if l.next=null then l.lgth
else l.lgth + sum(l.next)

```
sum(l) = if X.next.next=null then X.next.lgth  
else X.next.lgth + sum(X.next.next)
```

Test-Data Generation

- DNF computation yields already the test cases:

$X.sum() \equiv Y$ (for some $X \in \text{LList}$, i.e. $X \neq \text{null}$)

$\implies \dots \equiv \dots$

$\equiv (\text{unfolding sum and } \text{inv}_{\text{LList-Core}})$

$(X.\text{next}=\text{null} \wedge X.\text{lgth}=1 \wedge Y = X.\text{lgth})$

$\vee (X.\text{next} \neq \text{null} \wedge X.\text{lgth} = X.\text{next}.\text{lgth}+1 \wedge X.\text{next} \in \text{LList}$
 $\wedge (\text{if } X.\text{next}.\text{next}=\text{null} \text{ then } X.\text{next}.\text{lgth} = 1$
 $\text{else } X.\text{next}.\text{lgth} = X.\text{next}.\text{next}.\text{lgth} + 1$
 $\wedge X.\text{next}.\text{next} \in \text{LList} \wedge \text{inv}_{\text{LList-Core}}(X.\text{next}.\text{next}))$
 $\wedge (Y = X.\text{lgth} + (\text{if } X.\text{next}.\text{next}=\text{null} \text{ then } X.\text{next}.\text{lgth}$
 $\text{else } X.\text{next}.\text{lgth} + \text{sum}(X.\text{next}.\text{next})))$

Test-Data Generation

- DNF computation yields already the test cases:

X.sum() ≡ Y

(for some $X \in \text{LList}$, i.e. $X \neq \text{null}$)

⇒ ... ≡ ...

≡ (DNF partial)

$(X.\text{next} = \text{null} \wedge X.\text{lgth} = 1 \wedge Y = X.\text{lgth})$

$\vee (X.\text{next} \neq \text{null} \wedge X.\text{lgth} = X.\text{next}.\text{lgth} + 1 \wedge X.\text{next} \in \text{LList}$
 $\wedge ((X.\text{next}.\text{next} = \text{null} \wedge X.\text{next}.\text{lgth} = 1 \wedge Y = X.\text{lgth} + X.\text{next}.\text{lgth})$
 $\vee (X.\text{next}.\text{next} \neq \text{null} \wedge X.\text{next}.\text{lgth} = X.\text{next}.\text{next}.\text{lgth} + 1$
 $\wedge X.\text{next}.\text{next} \in \text{LList} \wedge \text{inv}_{\text{LList_Core}}(X.\text{next}.\text{next})$
 $\wedge Y = X.\text{lgth} + X.\text{next}.\text{lgth} + \text{sum}(X.\text{next}.\text{next}))$
)

Test-Data Generation

- DNF computation yields already the test cases:

$X.sum() \equiv Y$

(for some $X \in \text{LList}$, i.e. $X \neq \text{null}$)

$\implies \dots \equiv \dots$

\equiv (DNF partial)

$(x.\text{next}=\text{null} \wedge x.\text{lgth}=1 \wedge Y = x.\text{lgth})$

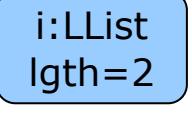
$\vee (X.\text{next} \neq \text{null} \wedge X.\text{lgth} = X.\text{next}.\text{lgth}+1 \wedge X.\text{next} \in \text{LList}$
 $\wedge X.\text{next}.\text{next}=\text{null} \wedge X.\text{next}.\text{lgth}=1 \wedge Y = X.\text{lgth}+X.\text{next}.\text{lgth}))$

$\vee (X.\text{next} \neq \text{null} \wedge X.\text{lgth} = X.\text{next}.\text{lgth}+1 \wedge X.\text{next} \in \text{LList}$
 $\wedge X.\text{next}.\text{next} \neq \text{null} \wedge X.\text{next}.\text{lgth} = X.\text{next}.\text{next}.\text{lgth}+1$
 $\wedge X.\text{next}.\text{next} \in \text{LList} \wedge \text{inv}_{\text{LList_Core}}(X.\text{next}.\text{next})$
 $\wedge Y = X.\text{lgth} + X.\text{next}.\text{lgth} + \text{sum}(X.\text{next}.\text{next}))$

New
Test-
Case!!

Test-Data Generation

- ❑ Intermediate Summary: test-cases known so far ?

X	Y
	1
	2
...	...

Summary: Symbolic Test-Case Generation

- ❑ ... and we could continue forever
 - compile to semantics
(→ convert in mathematical, logical notation)
 - use recursive predicates, recursive contracts
 - enter loop:
 - unfold predicates one step
 - compute DNF
 - simplify DNF
 - extract test-cases
 - until we are satisfied, i.e. have „enough“ test cases ...
 - Select test-data: constraint resolution of test cases.

Test-Data Generation

- **Observation:** “all other cases” ...
were represented by the clauses still
containing recursive predicates.
- **Logically:** we used a **regularity hypothesis**, i.e ...

$$\begin{aligned} (\forall X. |X| < k \Rightarrow X.\text{sum}() \equiv Y) \\ \Rightarrow (\forall X. X.\text{sum}() \equiv Y) \end{aligned}$$

where we choose as “complexity measure” $|X|$
just $X.\text{lgth}$ and k (the number of unfoldings)
was 2 ...

Test-Data Generation

- Coverage Criterion for recursive specification:

$$\text{DNF}_k$$

For all data up to complexity k, we constructed abstract test-cases and generated a test.

In our example, the “complexity measure” is just the length of the LLists.

Test-Data Generation

- ❑ What are the alternatives to symbolic test-case generation ?

Must this really be so complicated ???

Well, think about the probability to
“guess” input with a complex invariant
or precondition, if you use “blind”
random-generation of input...

Test-Data Generation

- Summary
 - We have (sketched) a symbolic Test-Case Generation Procedure for UML/MOAL Specifications
 - It takes into account:
 - object orientation
 - data invariants (recursive predicates)
 - recursive functions (via unfolding)
 - The process can be tool-supported
(HOL-TestGen)
 - The process is intended for automation.

Test-Data Generation

□ Summary

Key-Ingredients are:

- Unfolding predicates up to a given depth k
- computing the Disjunctive Normal Form (DNF_k)
- Adequacy:
Pick for each test-case (a conjoint in the DNF_k)
one test, i.e. one substitution for the free
variables satisfying the test-case !